Research on Youth Suicide and Sexual Orientation is Impacted by High Rates of Missingness in National Surveillance Systems

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Objective. The sexual orientation of young people who die by suicide
in the United States is usually unknown. This study assessed how
observed patterns of unknown sexual orientation are likely to affect
research findings.

Methods. We analyzed the National Violent Death Reporting Sys-5 tem (NVDRS) Restricted Access Dataset to assess whether sexual 6 orientation among youth suicide decedents is disproportionately 7 known for different demographics. We then assessed the degree 8 to which estimated sexual minority rates would be affected if re-9 searchers were to assume either (a) that sexual orientation data is 10 missing completely at random, or (b) that orientation information 11 is missing at random after accounting for observed demographic 12 patterns. 13

Results. Less than 10% of the sample had known sexual orientation.
Sexual orientation was more frequently known for females, white
people, and older people, and missingness varied by geography. The
choice between modeling the data as missing completely at random
versus at random conditional upon demographics had a more than
2-fold impact on estimated sexual minority rates among youth suicide decedents.

Conclusion. Research on sexual orientation and youth suicide is
 strongly impacted by how researchers account (or do not account)
 for missingness.

Suicidality | Sexuality | Adolescents | Young adults

esearch on disparities in suicide related to sexuality is 1 R hampered by limited data on sexual orientation at time of 2 death (1, 2). Posthumous identification of sexual orientation 3 typically requires a psychological autopsy that relies mostly 4 on third parties such as family members or close friends who 5 may be unaware of the sexuality of the deceased person (3). This problem is compounded for younger age groups, as most people do not disclose to friends or close family members until 8 approximately 16 years old on average, with many individuals 9 waiting much longer (4). 10

The methodological issue of unknown sexual orientation 11 of suicide decedents is so substantial that scientists have sug-12 gested that research in this area is inherently limited and "can-13 not reach top quality status" (3). This limitation increases 14 15 data analysts' degrees of freedom in that researchers must make various assumptions and decisions about how best to 16 handle missing data. It has been demonstrated that scientific 17 results can be strongly influenced by researcher's subjective 18 decisions regarding analytic strategies (5). For example, Sil-19 berzahn et al. (6) assigned 61 analysts from 29 teams to answer 20 the same question using the same dataset and found high levels 21 of variability in the resultant effect sizes (0.89 to 2.93) and 22 whether significant effects were detected. The impact of these 23

subjective analytic decisions is likely to be greater in certain situations such as when working with high rates of missing data (7).

Researchers have continued to use mortality data to es-27 timate risk of suicide by sexual orientation, often without 28 appropriate caveats about high rates of missing data or ex-29 plicit discussion of associated analytic assumptions and their 30 potential consequence. Estimates of the proportion of individ-31 uals who die by suicide who are sexual minorities have varied 32 considerably, even when derived from the same data sources. 33 For example, researchers have used the (relatively high-quality) 34 National Violent Death Reporting System (NVDRS) dataset 35 to publish estimates that lesbian, gay, and bisexual (LGB) 36 individuals comprise as few as 2.5% of youth decedents (8) 37 or as much as 8.4% (9). During the time period covered by 38 these studies, approximately 6% of young people in the U.S. 39 identified as LGBT (10), so these NVDRS-derived estimates 40 suggest that sexual minorities were either substantially under-41 represented or substantially over-represented among suicide 42 decedents. 43

What analytic decisions were required to generate such 44 estimates? Results were driven by multiple factors, but re-45 searchers' decisions about how to handle large amounts of 46 missing sexuality information were notable. In Ream (9), the 47 author simply restricted analyses to the 21% of cases with 48 known sexuality—thus making the implicit assumption that 49 sexual orientation was missing completely at random—and 50 calculated an 8.4% rate of LGB status among NVDRS sui-51 cide decedents between the ages of 12 and 29. In Patten et 52 al. (8) the authors attempted to more proactively account 53 for missingness. First, the authors excluded all data from 54 state/year combinations that had lower than 20% rates of 55 known sexuality. (For example, if rates of missing sexuality re-56 ported by California in 2018 were 85%, then that year of data 57 for that state would have been removed.) This step thereby 58 removed 67.6% of all suicide decedents and left the researchers 59

Significance Statement

Over 90% of young people who die by suicide have unknown or unreported sexual orientation. The authors show that these patterns of missing data are non-random and have the potential to strongly bias research findings. Scientists and policy-makers should be cautious about using mortality data to study disparities in suicidality for people with different sexual orientations.

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with data on 14 states and Puerto Rico (out of a possible 36 60 states that participated in NVDRS during the study period). 61 Then, the authors removed all remaining cases with missing 62 sexuality, thereby removing an additional 49.9% of the remain-63 64 ing sample. Thus, their final estimate that 2.5% of suicide 65 decedents identified as LGB was derived from a subset of only 16% of all suicide decedents. In another study published using 66 the same NVDRS dataset, Lyons et al. (11) used text-based 67 analysis to look for affirmative evidence of sexual minority 68 status and ultimately reported that only 0.5% of youth suicide 69 decedents were LGB on the basis of such affirmative evidence 70 being present. The authors of all these studies necessarily 71 made very impactful analytic decisions about how to handle a 72 lack of information about sexual orientation. 73

The accuracy of estimates of the sexual orientation of people 74 who die by suicide has significant implications. The question 75 of whether suicide rates are higher or lower for sexual minori-76 ties has been the subject of debate for decades (12) because 77 the conclusion may cause or alleviate stigma, impact peoples' 78 thoughts and feelings about themselves or their futures, and 79 influence how limited public resources are directed (3). How-80 ever, we are unaware of any attempts to quantify the degree 81 to which analytic decisions about handling missing data may 82 impact conclusions about sexual orientation and youth sui-83 cide. The present study evaluates how the decision between 84 approaching sexual orientation information as (a) missing 85 completely at random, or (b) missing at random (conditional 86 87 upon demographic patterns) would impact estimated rates of sexual orientation among youth suicide decedents. We also 88 discuss a third—in our view most likely but least quantita-89 tively tractable—possibility that sexual orientation data is not 90 missing at random. 91

Materials and Methods 92

We analyzed NVDRS Restricted Access Data—which in-93 cludes data from 43 states, Puerto Rico, and the District 94 of Columbia-for all suicides (viz., ICD-10 codes of X60-X84, 95 Y87, U03) among youth aged 11-21 from 2015 to 2019. Sexual 96 orientation was coded by NVDRS as heterosexual, gay, lesbian, 97 98 bisexual, unspecified sexual minority, or missing/unknown, on the basis of law enforcement, coroner, and/or medical examiner 99 reports (13). 100

We first estimated the rate of sexual minority status that 101 would be calculated under the assumption that sexual orienta-102 103 tion information is missing completely at random. If sexuality information is missing completely at random, then the best 104 105 estimate of the true rate of sexual minority status among youth who die by suicide is simply the rate of sexual minority 106 status after restricting the dataset to people with non-missing 107 sexual orientation codes. 108

We then fit a multilevel regression model to assess whether 109 sexuality was differentially known as a function of age, race, 110 111 sex, and location (viz., state) of death. Finally, we assessed how estimated rates of sexual minority status would be affected if 112 researchers were to assume that the data is missing at random 113 conditional on observed demographics. If the data are missing 114 at random conditional upon demographics, then estimating the 115 rate of sexual minority status among youth who die by suicide 116 requires statistical adjustment, which we carry out by imputing 117 missing data using those observed variables (14). This study 118 was deemed exempt by the University of Indianapolis IRB. 119

Table 1. Demographic characteristics and rates of non-missing sexual orientation codes among 12,117 youth suicide decedents

			Coded for		
	Overall S	Overall Sample		Orientation	
	Ν	%	Ν	%	
Sex					
Male	9371	77.3	870	9.3	
Female	2746	22.7	330	12	
Age					
11 to 13	760	6.3	52	6.8	
14 to 17	4010	33.1	396	9.9	
18 to 21	7347	60.6	752	10.2	
Race/Ethnicity					
White	8019	66.2	808	10.1	
Black	1278	10.6	78	6.1	
Hispanic	1603	13.2	189	11.8	
Asian/Pacific Islander	516	4.3	42	8.1	
American Indian	369	3.1	48	13	
Multiracial	282	2.3	33	11.7	
Unknown	50	0.4	*	*	
Sexual Orientation					
Straight	972	8	-	-	
Sexual minority orientation	228	1.9	-	-	
Unknown	10917	90.1	-	-	
*Indicates data are suppressed when counts < 10.					

Please see the online supplement for a complete R markdown 120 providing complete annotated data preparation steps and 121 analyses. 122

Results

There were 12,117 youth suicide decedents in the study sample 124 with ages ranging from 11 to 21 years old. As shown in Table 1, 125 the sample was 77.3% male. The racial/ethnic composition 126 was 66.2% White/non-Hispanic, 13.2% Hispanic, and 10.6% 127 Black/non-Hispanic, with the remaining racial/ethnic groups 128 individually comprising less than 5% of the sample. 129

9.9% (N=1,200) of the sample was successfully coded for sexual orientation. 19.0% of these 1,200 were sexual minorities. 131

The first approach that we considered was to model sexual 132 orientation data as missing completely at random. Under 133 this assumption, a researcher can simply restrict analyses to 134 cases with non-missing sexuality information, which in this 135 case corresponds to an estimated 19% rate of sexual minority 136 status among youth suicide decedents.

However, multilevel modeling suggested that sexual ori-138 entation information was not missing completely at random. 139 After controlling for other demographics, females were 38%140 (95%CI:18-61%) more likely to be coded for sexuality than 141 males. Each additional year of age was associated with a 6%142 (95%CI:3-9%) increased likelihood of known sexual orientation. 143 Black people (95%CI:12-48%) and Asian/Pacific Islanders 144 (95%CI:1-54\%) were both 32\% less likely to be coded for sexu-145 ality than White people (Table 2). There was also substantial 146 variation in rates of coding for sexuality by state (Figure 1), 147 with raw rates ranging from less than 1% in several states 148 (including California and New York) to approximately 50% in 149 Wisconsin. 150

Given such strong demographic patterns, researchers might 151 consider a second possibility, which is that the data are miss-152 ing at random conditional upon observed variables. In such 153

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Table 2. Adjusted Odds Ratios (AOR) of coding for sexuality, controlling for state and other demographics.

Variable	AOR	95%CI	
Age, years	1.06	1.03-1.09*	
Female (ref. Male)	1.38	1.18-1.61*	
Race (ref. White)			
Hispanic	1.11	0.91-1.36	
Black	0.68	0.52-0.88*	
Asian/Pacific Islander	0.68	0.46-0.99*	
American Indian	0.96	0.66-1.40	
Multiracial	0.87	0.56-1.35	
Unknown	0.42	0.10-1.81	
*p<0.05. AORs are odds ratios for each variable after adjusting for all			
other variables reported in this table, as well as location of death.			

a scenario, researchers would typically use imputation to es-154 timate the "true" rate of sexual minority status. After im-155 putation based on observed demographics, we find that the 156 adjusted estimated rate of sexual minority status would be 157 44% (95% PPI:39-49%), as opposed to the 19% that would be 158 159 estimated by simply restricting calculations to people with known sexual orientation. The adjusted rate would thus be 160 more than 2 times larger (95%CI:2.04-2.5) than the rate that 161 would be estimated if the data were assumed to be missing 162 completely at random. 163

A third possibility is that the data are not missing at ran-164 dom. If this is the case, then both the naïve estimate and the 165 demographically adjusted estimate are inappropriate. While 166 we do not propose a specific statistical adjustments for such a 167 scenario because nonignorable nonresponse models are often 168 unidentifiable and at best require strong modeling assumptions 169 170 and/or the inclusion of additional prior information (15), we 171 find this third possibility to be the most plausible and describe it in detail later in the Discussion. 172



Fig. 1. Adjusted odds of coding for sexual orientation, by state. A value of one (1) corresponds to the average of the dataset after covarying for age, sex, and race States that do not participate in NVDRS are colored grey.

Discussion

The current study finds that approximately 90% of youths who die by suicide have unknown sexuality at time of death, and that likelihood of known sexuality differs strongly by demographics.

Researchers attempting to derive conclusions about sex-178 uality and youth suicide must choose between non-trivial 179 assumptions in order to determine next steps. One potential 180 assumption would be that sexual orientation is missing com-181 pletely at random, i.e., that suicide decedents with known 182 versus unknown sexuality are statistically indistinguishable. 183 This appears to be the most standard approach taken in the 184 literature, and, as applied to our 2015-2019 NVRDS dataset, 185 would yield an estimated 19% rate of sexual minority status 186 among youth suicide decedents, higher than the approximate 187 8% of young people in the United States who identified as 188 LGBT over the same time period (10). 189

An alternative assumption that researchers might make would be to approach sexual orientation as missing at random 191 after conditioning upon observed variables. Under such an 192 assumption, researchers would be able to make valid infer-193 ences about suicide and sexuality after statistical adjustment 194 (14), with our own modeling suggesting that adjustment for 195 demographics would yield a more than two-fold increase in 196 estimated rates of sexual minority status as compared to the es-197 timate derived from simply restricting analyses to people with 198 available data. Such large differences could be attributable to 199 a range of demographic-related factors (e.g., variation in the 200 acceptability of disclosure by group, greater self-knowledge of 201 sexuality as people get older, etc.). 202

However, we do not find either the assumption that data 203 are missing at random or that they are missing completely 204 at random to be plausible. Instead, it seems overwhelmingly 205 likely that rates of sexual minority status differ systematically 206 between youth with missing versus non-missing orientation 207 information even after accounting for all other observed vari-208 ables. For example, given two children with the same age, 209 gender, race, and location, a child who identifies as straight 210 may feel more comfortable divulging their sexuality than a 211 child who identifies as gay. This effect would cause relative 212 underestimates in the rate of sexual minority status among 213 people who die by suicide, because cases with missing sexuality 214 information would be more likely to be LGBT+. Of course, 215 other simultaneous effects are also plausible. For example, 216 given two children with otherwise identical demographics, a 217 child identifying as LGBT+ may be more likely to have their 218 sexuality recorded by other people (such as police or coroners) 219 for being noteworthy, and it seems reasonable to suppose that 220 this effect could be particularly strong for younger children 221 who are less likely to have known sexuality in general. Such an 222 effect would lead to relative overestimates in the rate of sexual 223 minority status among people who die by suicide because those 224 with missing sexuality data would be more likely to be straight, 225 and these overestimates would be stronger for younger people, 226 thus illustrating how even research on variables obliquely re-227 lated to sexuality may be impacted by nonignorable missing 228 data (e.g., producing phantom findings about relationships 229 between age and sexuality among youth suicide decedents). 230 It is difficult to weigh these scenarios or make quantitative 231 guesses about the plausible sizes of such potential effects. In 232 general, if it is true that missing sexuality information is di-233

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rectly correlated with sexuality in these (or other) ways, then 234

the data are not missing at random and statistical modeling 235 cannot straightforwardly be used to correct any resulting bias 236

(14, 15).237

Our results are technically limited to the NVDRS, however, 238 the NVDRS has a relatively comprehensive system for assess-239 ing sexuality, and we are concerned that bias in estimates of 240 sexual orientation would be more pronounced in other pub-241 242 lic health datasets. Bias may be further compounded when attempting intersectional research on multiply marginalized 243 sexual minority groups (16). 244

Importantly, we do not intend to suggest that all research on 245 suicide risk and sexuality is futile. Our results have no direct 246 bearing on studies with very different methodologies, such 247 as research that follows people prospectively. For example, 248 Feigelman et al. (17) carried out a prospective large-scale 249 survey of living adolescents and adults and examined rates 250 of completed suicide among participants. They measured 251 an increased risk of suicide for Gay/Bisexual individuals of 252 both sexes, although there was substantial uncertainty in their 253 estimates and only the odds ratio for females reached statistical 254 255 significance. Of course, studies of this kind may suffer their 256 own methodological issues as noted by the authors.

Additionally, there may be considerable room for improve-257 ment in the ascertainment of sexual orientation information at 258 time of death. The NVDRS is consistent in coding sexuality 259 based on the law enforcement, coroner, and/or medical exam-260 iner reports provided by participating states (13), but these 261 states have quite variable methodologies for producing those 262 reports, with (e.g.) not all coroners or medical examiners sys-263 tematically performing any psychological autopsy at all (18). 264 Our present study accordingly found substantial variability 265 in missingness of sexual orientation by state, and thus room 266 for improvement at the state level (although, as can be seen 267 268 in page 18 of the Online Supplement, there are substantial 269 issues with missingness even after accounting for differences attributable to state-level variation). 270

Overall, our findings should serve to reinforce researchers 271 272 and policy-makers in being cautious when considering posthumously derived estimates of suicide risk by sexuality, par-273 274 ticularly for youth who are much less likely than adults to have disclosed their sexuality to friends or family (4). If it is 275 assumed that sexual orientation information is missing at ran-276 dom, then estimates would require potentially large (>2-fold)277 adjustments to account for demographic patterns in missing-278 ness. Such adjustments have not even been attempted by any 279 existing publication that we are aware of. Alternatively, if 280 sexuality is assumed not to be missing at random (we find 281 this possibility to be overwhelmingly likely) then such adjust-282 ments would not be warranted but nor would naive unadjusted 283 estimates, thus yielding even greater uncertainty. 284

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